



# CORPORATE RISK REGISTER

July 2022

## Purpose

The following document is the Corporate Risk Register for Cleveland Fire Authority. This details the strategic risks facing the Authority in achieving its vision and strategic goals.

In order to identify all of the strategic risks facing Cleveland Fire Authority the contents of this document should be considered alongside the Cleveland Community Risk Register that is produced and maintained by the Local Resilience Forum in conjunction with partner agencies within the Cleveland Area and the National Risk Register.

The Corporate Risk Register details contains the risks and associated mitigating actions specific to Cleveland Fire Authority.

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Business Objectives			Corporate Risk Register					Completed By:		Head of Risk and Performance	
								Date:		July 2022	
Risk No.	Risk	Assessment of Risk			Risk Control Measures	Assessment of Risk					
		Impact	Likelihood	Lead		Impact	Likelihood		Impact	Likelihood	
		Original Risk				Current Risk			Residual Risk		
Political		Associated with the failure to deliver either local or central government policy									
2022/23 P01	National Security  The National Risk Assessment recognises that the UK faces a serious and sustained threat from Terrorism which will have operational implications for the FRS support as part of the national infrastructure	4	3	ACFO CP	▪ DSP2.1: implement Business Continuity Plan programme and continue testing  ▪ DSP3.14: review ICT Strategy including Cyber Crime Prevention	4	2		2	2	
		12 High				8 Medium			4 Low		

2022/23 P03	<b>Fire and Rescue Reform</b>  The Government's pending White Paper on Fire and Rescue Reform may result in a change in CFA strategic direction in relation to purpose, priorities, roles, responsibilities, pay negotiation mechanisms; and terms and conditions.	4	3	CFO	<ul style="list-style-type: none"> <li>▪ CSP 8.1: assess the implications of the Government's 'White Paper' on Fire and Rescue Reform and determine future actions in this respect.</li> <li>▪ <b>Submit CFA response to the White Paper consultation</b></li> </ul>	4	3		2	3
2022/23 P04	<b>Industrial Action</b>  National Issues around pay awards may lead to intermittent or on-going and sustained periods of industrial action thus leading to service disruption and the ability of the brigade to discharge its full duties effectively.	4	3			4	3		2	3
		12 High				12 High			6 Medium	
		12 High				12 High			6 Medium	

Economic		Affecting the ability of the organisation to meet its financial commitments including internal budgetary pressures and provision of adequate insurance cover									
2022/23 E01	<b>Financial Pressures and Service Sustainability</b>	4	3	ACFO SPR	<ul style="list-style-type: none"><li>▪DSSC1.1: implement cost recovery model in relation to our Unwanted Fire Signal Strategy</li><li>▪CUOUR3.2: align productivity to budget spend</li><li>▪ESR 33.1 Review Medium Term Financial Strategy including efficiencies and reserves</li><li>▪ESR 90.1 Achieve an unqualified opinion on the financial statements</li><li>▪ESR87 Prepare a CSR 2022 submission to Treasury</li><li>▪ESR83.1 Implement the 2022/23 Capital Programme</li><li>▪ESR 28.1 Review the provision of Prevention Services to ensure efficiency and effectiveness</li><li>▪SSC38.1 Use the outcomes from our Independent Resource Review to develop options for improving our emergency response cover to meet current future risks and demands</li><li>▪ESR27 Further review our Fire-fighter productivity and capacity evaluation following the introduction of our new ICT ‘Productivity and Capacity’ recording system</li><li>▪ESR62.1 Aim to reduce our electricity, gas and water consumption year on year</li><li>▪ERSR24.1 Investigate the use of alternative and smaller emergency response vehicles</li><li>▪ESR64 Undertake a baseline review to profile our current fuel consumption and carbon footprint across our fleet</li><li>▪Undertake pay award modelling to identify likely</li></ul>	4	4		3	3	
	Unknown outcomes relating to future Comprehensive Spending and Fair Funding Reviews; capping on council tax increases; business rate retention scheme; and pay increases as well as financial pressures associated with the impact of COVID-19 (unplanned expenditure; and loss of council tax and business rates income) may negatively impact the planning and sustainability of future community safety services.	12 High				16 High		9 High			

				budgetary pressure from the 22/23 pay negotiations and formal offer from NJC.		
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2022/23 E02	<p><b>Pension Reforms</b></p> <p>Pension Reform and remedies as a result of outcomes from significant litigation claims relating to age discrimination and the less favourable treatment of part-time workers may incur substantial financial pressure and extensive increased workloads.</p>	4	4	ACFO SPR	<ul style="list-style-type: none"><li>▪ CSP12.6: Monitor Progress of the legal case outcomes and consider next steps</li><li>▪ Policy for Payment of Immediate Detriment Cases</li><li>▪ Financial profile and assessment of cases</li><li>▪ Internal Audit review of Pension Service</li><li>▪ HMIC self assessment review of pension administration</li><li>▪ CSP 12.1 Firefighters Pension Scheme: deal with the remedy for immediate detriment</li><li>▪ CSP 12.3 Firefighters Pension Scheme: Deferred Choice Underpin (DCU) Part 1 – implement</li><li>▪ CSP 12.4 Firefighters Pension Scheme: Deferred Choice Underpin (DCU) Part 2 - maintenance of CARE underpinned records from April 2015</li><li>▪ CSP12.5 Firefighters Pension Scheme: Deferred Choice Underpin (DCU) Part 3 – provide remedy choice from Oct 2023</li></ul>	4	4	2	3
		16 High				16 High		6 Medium	

2022/23 E03	<b>Fire Standards</b>  The introduction of the National Fire Standards may create un-resourced requirements on the Brigade which may impact the ability of the Brigade to fully implement the Standards.	3	3	CFO	<ul style="list-style-type: none"><li>Conduct gap and impact analysis on all standards as and when they are published.</li><li>SSC 11 Undertake a Gap Analysis against the Fire Standard for Community Risk Management Planning</li><li>SSC 25 Undertake a detailed gap analysis on the new Safeguarding Fire Standard</li><li>SSC 12.1 Undertake a gap analysis against the Fire Standard for Prevention</li><li>SSC 23.1 Review our fire protection arrangements against the new Fire Protection Standard that was issued in Sep 2021 and address any identified gaps through an improvement action plan.</li><li>SSC13.1 Undertake a Gap Analysis against the Fire Standard for Operational Preparedness</li></ul>	3	3		3	1
		9 High				9 High			3 Low	



Social		Relating to the effects of changes in demographic, residential or socio-economic trends on the organisation's ability to deliver its objectives									
2022/23 S01	<p><b>Increased Vulnerability</b></p> <p>A changing community and economic profile and the long-term impacts of COVID-19 (increased unemployment; social inequality, crime, drugs and alcohol use; mental health; social isolation; fuel poverty; human trafficking) may increase the demands for and the nature of the Brigade's services.</p>	4	2	ACFO CP	<ul style="list-style-type: none"><li>DSSC5.2: evaluate and develop the efficiency and effectiveness of the 'Prevention at Home' on-line tools</li><li>SSC 24 Maintain our 'Green' rating with TSAB for our safeguarding arrangements</li><li>SSC27.1 Embed and evaluate our new digital Safer Homes Visits to ensure we continue to target our resources to those people deemed to be 'most at risk', and make further enhancements of the digitally interactive elements as required</li><li>SSC 28.1 Work with partners to deliver 20,000 Safer Homes Visits per year targeting those most vulnerable</li><li>SSC14.1 Progress our Programme of Quality Assurance Compliance Audits aligned to each of the Prevention Activities</li><li>SSC15.1 Implement our evaluation programme for our prevention activities</li></ul>	4	3		2	2	
		8 Medium				12 High			4 Low		

2022/23 S02	<b>Community Engagement (Opportunity)</b>  Continuing to enhance our understanding of community requirements may result in improved service provision.	-3	-3	ACFO CP	<ul style="list-style-type: none"><li>▪ DSSC5.1: implement Year 3 actions within our Community Safety Strategy</li><li>▪ DSSC5.2: evaluate and develop the efficiency and effectiveness of the ‘Prevention at Home’ on-line tools</li><li>▪ DSSC5.3: evaluate and develop the efficiency and effectiveness of the ‘Protection for Business’ on-line tools</li></ul>	-3	-3		-1	-3
		-9 High				-9 High			-3 Low	

Technological		Associated with the capacity of the organisation to deal with the pace and scale of technological change, or its ability to address changing demands. Also includes the consequences of internal technological failures									
2022/23 T01	<b>Emergency Services Mobile Communication Program (ESMCP)</b>  Failure to meet the ESMCP national project milestones may result in delays to the Brigade’s switch over to the new ESN communication facility.	4	4	ACFO SPR	<ul style="list-style-type: none"><li>▪ CUOR 6.4: in collaboration with CDDFRS explore options to seek mutual technological and service delivery enhancements to emergency call handling and mobilising provision</li><li>▪ DSP3.14: review ICT Strategy including Cyber Crime Prevention</li></ul>	4	4		2	2	
		16 High				16 High			4 Low		
2022/23 T02	<b>Information and Data Security</b>  Increase in Cyber Crime and breaches of Information and Data Security may result in reputational; organisational and personal loss.	4	2	ACFO SPR	<ul style="list-style-type: none"><li>▪ DSP3.14: review ICT Strategy including Cyber Crime Prevention</li><li>▪ DSP2.1: implement Business Continuity Plan programme and continue testing</li><li>▪ Undertake Cyber Security independent audit and implement recommendations</li></ul>	4	2		4	1	
		8 Medium				8 Medium			4 Low		

2022/23 T03	<b>Digital Transformation (Opportunity)</b>  Leveraging the changes and opportunities of digital technologies will improve the efficiency and effectiveness of our services and business processes; and the way we work.	-4	-2	ACFO SPR	<ul style="list-style-type: none"><li>▪ DUOR 6.1: implement Phase 2 of the Integra System to improve stock management and control of uniform and equipment</li><li>▪ DUOR 6.2: implement Human Resource Information and Operational Rota Systems to improve workflow; and data collection and monitoring</li><li>▪ ESR 2.1 Transition to our new business platform ‘The Bridge’ aligned to our digital transformation priorities</li><li>▪ ESR 3 Embrace workplace mobility, providing the necessary accessibility and connectivity for our people to work anytime anywhere on any device</li><li>▪ ESR4 Expand our use of Microsoft 365 business productivity tools and unified communication</li><li>▪ ESR 6 Utilise digital technology to support the Health and Wellbeing of our people</li><li>▪ ESR 7 Enable the public to provide us with digital information at the scene of an emergency</li><li>▪ ESR 9 Introduce digital mobile applications for alerting our flexi duty officers and our retained firefighters</li><li>▪ ESR 13.1 Align all of our geospatial and location data, across all of our systems, with the national UK Addressing Database</li><li>▪ ESR 14.1 Integrate the national Multi Agency Incident Transfer protocols within our Fire Control Systems</li><li>▪ ESR16 Enhance the visualisation and presentation of our data through the expanded use of business intelligence dashboards using Microsoft Power BI</li><li>▪ ESR 17 Establish a Digital Transformation Group to support the delivery of our Digital Transformation Strategy</li></ul>	-4	-2	-4	-1
		-8 Medium				-8 Medium			

Legislative		Associated with current or potential changes in national or European Law									
2022/23 L01	<b>Grenfell Tower Inquiry</b>  Outcomes and publicity from the on-going high profile Public Inquiry into the Grenfell Tower Fire on 14th June 2017 may impact on the reputation and public confidence of Cleveland Fire Brigade thereby preventing the Brigade in discharging its duties efficiently and effectively.	3	2	ACFO CP	<ul style="list-style-type: none"><li>▪ CSSC5.1: implement the Brigade’s Grenfell Tower Action Plan established in response to the recommendations contained within the Grenfell Inquiry Phase 1 Report published in Oct 2019</li><li>▪ CSSC5.2: allocate grant funding to progressing the Brigade’s Grenfell Tower Action Plan and monitor expenditure</li><li>▪ SSC33.1 Actively implement learning from major national events such as Grenfell Tower and The Cube</li></ul>	3	2		2	2	
		6 Medium				6 Medium			4 Low		

Environmental		Relating to the environmental consequences of progressing the organisation’s strategic objectives, e.g. Pollution and emissions									
2022/23 En01	<b>Climate Change</b>  Our day-to-day operations and working arrangements may be contributing to an increase in greenhouse gas emissions that speed up the rate of climate change and subsequently increase local and national service demand.	3	3	ACFO SPR	<ul style="list-style-type: none"><li>▪ CSP6.1: produce a Climate Change Risk Assessment that can be considered within the Brigade’s CIRMP planning arrangements</li><li>▪ CSP6.2: produce a Climate Change Strategy that links to our CIRMP and contributes to the reduction of the risks identified in CSP6.1 above</li><li>▪ ESR 21.1 Undertake a programme of site de-carbonisation to support our Climate Change plans</li><li>▪ ESR61 Undertake a baseline review to profile our current energy usage and carbon footprint across our estate</li><li>▪ ESR 62.1 Aim to reduce our electricity, gas and water consumption year on year</li><li>▪ ESR 65.1 Implement an 'Electric Vehicle' charging infrastructure</li><li>▪ ESR 25.5 Evaluate a range of greener vehicles in support of our Climate Change aims</li><li>▪ ESR 64 Undertake a baseline review to profile our current fuel consumption and carbon footprint across our fleet</li></ul>	3	3		1	3	
		9 High				9 High			3 Low		

Organisational		Cross cutting risks, in terms of effectiveness, cost and quality of service delivery									
2022/23 001	<p><b>Improved Performance and Public Confidence (Opportunity)</b></p> <p>Addressing the gaps identified in the Brigade’s Self-Assessment and HMICFRS Report will provide the opportunity to further improve efficiency, effectiveness and people management performance and public confidence</p>	-3	-3	ACFO SPR	<ul style="list-style-type: none"><li>▪ CSP2.3: identify improvement opportunities; and determine policies and standards for delivery of community safety services</li><li>▪ SSC 6.1 Continue to work with Partners to gather better insight relating to our diverse and ‘hard to reach’ communities</li><li>▪ SSC 8.1 Use the outcomes from the NFCC CRM work to develop enhancements in our approach to risk management to improve the safety, health, wellbeing and economic prosperities of communities</li><li>▪ SSC 9.1 Develop a more detailed understanding of the impact of vulnerability factors on the causes of dwelling fires to support the assessment of risk</li><li>▪ SSC 22.1 Use good practice to improve engagement with our business community</li><li>▪ SSC 23.1 Review our fire protection arrangements against the new Fire Protection Standard that was issued in Sep 2021 and address any identified gaps through an improvement action plan.</li></ul>	-3	-3		-3	-1	
		-9 High				-9 High			-3 Low		

2022/23 003	<b>Capacity to Enforce the Regulatory Reform (Fire Safety) Order 2005</b>  Significant increases in enforcement activity under the Regulatory Reform (Fire Safety) Order 2005 as a result of the introduction of our revised risk-based inspection programme on 1st April 2021 may result in insufficient capacity to effectively enforce the provisions of the Fire Safety Order and the requirements of the Building Safety Bill.	3	3	ACFO CP	<ul style="list-style-type: none"><li>▪ DSP3.28: review fire safety enforcement policy</li><li>▪ DSP3.29: review fire safety general standards</li><li>▪ CSSC2.1: implement the Brigade’s new risk-based inspection programme</li><li>▪ CSSC2.2: evaluate the Brigade’s risk-based inspection programme to ensure efficiency and effectiveness including the Brigade’s capacity to meet our duty to enforce under the Regulatory Reform (Fire Safety) Order 2005</li><li>▪ SSC 36.1 Continue to develop and train our staff in line with the National Competency Framework for Fire Safety Regulators</li><li>▪ SSC 34.1 Further explore innovative and digital solutions to delivering protection services</li></ul>	3	3		3	1
		9 High				9 High			3 Low	

Customer	Associated with failure to meet the current and changing needs and expectations of the community									

	New and Emerging Risks									



Redundant and Deleted Risks									
2022/23 002	<b>Organisational Learning and COVID-19 (Opportunity)</b>  Findings from our self-assessed COVID-19 and the NFCC key learning studies and the HMICFRS COVID-19 Inspection Report will improve the resilience, effectiveness and efficiency of the Fire Authority's response to the COVID-19 pandemic crisis and provide a springboard for lasting reform and modernisation.			ACFO SPR	CSP10.3: evaluate the activities undertaken to support our partner organisations and assess the continuation of those services CSP10.4: consider the adaptation of flexible working arrangements to make sure that we have the right provisions to support a modern workforce DSSC5.2: evaluate and develop the efficiency and effectiveness of the 'Prevention at Home' on-line tools DSSC5.3: evaluate and develop the efficiency and effectiveness of the 'Protection for Business' on-line tools PPP10 Continue to Monitor the effects of Covid-19 on specific staff groups against the protected characteristics, as part of our business continuity arrangements.				

### Definitions

Original Risk: Original assessed level of risk when item was identified and included within the risk register

Current Risk: Risk level at time of review taking into account the number and extent that the risk control measures have been implemented

Target Risk: Anticipated risk level when all identified risk control measures have been implemented successfully

### Key

Grey Text: Actions that have been completed and implemented successfully

Black Text: Actions that are in process of implementation or still to be implemented

## Risk / Opportunity Matrix

		RISK				OPPORTUNITY					
Likelihood	4	4	8	12	16	-16	-12	-8	-4	-4	Likelihood
	3	3	6	9	12	-12	-9	-6	-3	-3	
	2	2	4	6	8	-8	-6	-4	-2	-2	
	1	1	2	3	4	-4	-3	-2	-1	-1	
		1	2	3	4	-4	-3	-2	-1		
		Impact				Impact					